PATENT

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G. Rooney,/Reg.

GROUP 3010

Applicants:

J. Clayton Miller et al.

Serial No.:

10/667,959

Filed:

September 22, 2003

Examiner:

Unknown

Group Art Unit:

3676 Confirmation No.: 1196

For:

DEAD BOLT LOCK SYSTEM HAVING MULTIPLE SECURITY

FEATURES

Atty. Docket No.: LOCM-03C

Cincinnati, Ohio 45202

December 18, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the Examiner in connection with the above-identified patent application. Copies of the cited references have already been supplied

in connection with U.S. Patent Application Ser. Nos. 09/661,689 (now U.S. Patent No. 6,622,534), 09/090,626 (abandoned) and 08/797,438 (abandoned). The present application is a continuation-in-part of Serial No. 09/661,689 and claims the benefit thereof under 35 U.S.C. § 120. Therefore, under 37 C.F.R. § 1.98(d) copies of the cited references need not be provided herewith. If additional copies of these references are desired, please contact the undersigned and they will be forwarded immediately.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Kevin G. Rooney

Reg. No. 36,330

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324 K:\LOCM\03C\ids.wpd

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	A.A	4	5	0	2	9	0		4/1891	Hansen					
	A.B	7 8 0 1 8 1					1		1/1905	Holden	70	118			
•	A.C	9	2	8	9	0	4		7/1909	Cottrell	70	118			
	A.D	9 4 3 1 4 3							12/1909	Draper					
	A.E	1	2	8	8	8	1	6	12/1918	Bolles					
	A.F	1	4	9	6	8	9	4	6/1924	Krautter					
	A.G	1 5 1 2 9 3						9	10/1924	Ledin					
	A.H	1	6	0	4	8	6	6	10/1926	Wildrick		70	284		
	A.I	1	6	3	0	1	4	1	5/1927	Soemer					
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	A.L	302	2222	2				12/	1917	DE					No
	A.M	498	3939	9		·		5/1	930	DE					
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Sheet 2 (B) of 5 U.S. DEPARTMENT OF COMMERCE SUBSTITUTE DRM PTO-1449 ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE LOCM-03C 10/667.959 INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT J. Clayton Miller et al. (Use several sheets if necessary) FILING DATE **GROUP** September 22, 2003 3676 (37 CFR 1.98(b)) **U.S. PATENT DOCUMENTS ISSUE** FILING DATE **EXAMINER** IF APPROPRIATE INITIAL PATENT NUMBER DATE **PATENTEE CLASS SUBCLASS** 0 2/1933 B.A 8 9 8 0 Soper 292 165 2 B.B 2 3 3 8 2 8 3/1941 Andersen 292 165X 2 2 9 5/1941 **Bock** 292 346 B.C 4 1 1 0 2 2 3 1 7 9 5/1941 Whittier 292 169.14 B.D 4 2 4 8 5 0 4 10/1949 Friend 292 167X B.E 2 2 5 2 4 6 9 6 10/1950 Ellis 70 422 B.F 2 5 9 4 2 5 3 4/1952 Vander Veer 292 92 B.G B.H 2 8 4 3 4 0 9 7/1958 Johannesen 292 165 2 8 7 2 2 3 3 2/1959 Bordner, Jr. 292 92 B.I 2 9 5 8 2 1 5 11/1960 70 92 B.J Heyman B.K 3 0 7 3 1 4 3 1/1963 Eads 292 169.14 **5**2 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION DOCUMENT PUBLICATION** COUNTRY OR DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) NUMBER B.L B.M B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) B.R B.S B.T **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.

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ATTY. DOCKET NO. LOCM-03C

SERIAL NO. 10/667,959

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
J. Clayton Miller et al.

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	C.A	3	2	7	1	0	6	2	9/1966	Schelin		292	336.3		
<u></u>	C.B	3	3	3	9	9	5	9	9/1967	Krantz		292	254		
b	C.C 3 6 3 3 3 8 8		1/1972	Atkinson		70	285X								
*	C.D	3	7	7	4	4	2	0	11/1973	Orr		70	131X		
	C.E	3	7	9	6	0	7	3	3/1974	Guiraud		70	432X		
	C.F	3	8	6	5	4	1	4	2/1975	Schlage	-	292	165X		
	C.G	3	8	6	9	1	5	9	3/1975	Eads		292	92		
•	С.Н	3	9	5	8	8	2	0	5/1976	Teeple		292	92		
	C.I	4	0	0	3	5	9	3	1/1977	Wilzig et al.		292	92		
	C.J	4	0	0	6	4	7	1	2/1977	Pappas		70	432		
-	C.K	4	0	6	4	7	1	9	12/1977	Boeckman et al.		70	355		
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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SERIAL NO. 10/667.959

APPLICANT

J. Clayton Miller et al.

STATEMENT BY APPLICANT FILING DATE **GROUP** (Use several sheets if necessary) 3676 September 22, 2003 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE INITIAL PATENT NUMBER **PATENTEE CLASS SUBCLASS** DATE D.A 0 5 7/1978 Dozier 292 92 1 70 279.1X D.B 4 2 8 0 7 6 5/1981 Itoi 6 D.C 3 2 5 2 1/1982 Hall et al. 292 92 1 D.D 4 3 8 9 0 6 6/1983 Foshee 292 169.14 4 4 0 2 7 9/1984 70 118 D.E 7 Uyeda Marko et al. D.F 4 6 9 2 2 12/1986 292 165 2 8 6 1 5 1/1987 292 144 D.G 4 3 5 Geringer et al. D.H 4 6 6 9 3 9 4 6/1987 Fogleman et al. 109 597 9 8 3 7/1987 D.I 4 6 7 4 Gotanda 292 169.13 8/1987 109 D.J 4 6 8 8 4 9 2 Peghetti 597 D.K 4 7 5 4 5 7/1988 **Squires** 109 597 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS ١., DOCUMENT **PUBLICATION** COUNTRY OR **TRANSLATION SUBCLASS** PATENT OFFICE **CLASS** (YES/NO) NUMBER DATE D.L D.M D.N D.O D.P D.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) D.R JAN 0 5 2004 D.S D.T DATE CONSIDERED **EXAMINER**

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	E.A	5	0	4	0	6	5	2	8/1991	Fish et al.		70	187		
· <u></u>	E.B	5	0	4	4	1	8	2	9/1991	Totten		292	169.142	×	
	E.C	5	1	3	9	2	9	2	8/1992	Beals		292	92		
,	E.D	5	4	7	3	9	2	2	12/1995	Bair et al.		70	333r		
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Substitute Disclosure Form (PTO-1449)